



PTO/SB/21 (02-04)
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## Application Number 10/660,564-Conf. #5321 Filing Date **TRANSMITTAL** September 12, 2003 First Named Inventor **FORM** Hiroshi Deguchi Art Unit 1772 (to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned Attorney Docket Number H6790.0003/P003

Fee Transmittal Form    Drawing(s)	Total Numbe	Tor Pages III This Submis	SIOII	H6790.0003/P003				
Fee Attached    Licensing-related Papers   Appeal Communication to Board of Appeals and Interferences	ENCLOSURES (Check all that apply)							
Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Provisional Application  Terminal Disclaimer  X Other Enclosure(s) (please Identify below):  Form PTO/SB/08A; European Search Report; 3 Foreign references.  CCD, Number of CD(s)  Response to Missing Parts Incomplete Application  Response to Missing Parts Under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or Individual name  DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson  Registration No.: 33,082	Fee Transi	mittal Form	Drawing(s)					
After Final Petition to Convert to a Provisional Application Provisional Application Provisional Application Provisional Application Status Letter  Extension of Time Request Terminal Disclaimer Express Abandonment Request Request for Refund Search Report; 3 Foreign references.  Certified Copy of Priority Document(s) Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or Individual name  Signature Mark J. Thronson Registration No.: 33,082	Fee	Attached	Licensing-related Papers					
Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  Under 37 CFR 1.52 or 1.53  Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  X Other Enclosure(s) (please identify below):  Form PTO/SB/08A; European Search Report; 3 Foreign references.  Remarks  Remarks  Remarks  Remarks  DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP or Individual name  Mark J. Thronson  Registration No.: 33,082	Amendmer	nt/Reply	Petition					
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts Incomplete Application  Response to Missing Parts Under 37 CFR 1.52 or 1.53  Signature  Change of Correspondence Address  Terminal Disclaimer  Request Terminal Disclaimer  X Other Enclosure(s) (please Identify below):  X Identify below:  X Other Enclosure(s) (please Identify below):  X Identify below:  X Other Enclosure(s) (please Identify below):  X Identify below:  X Other Enclosure(s) (please Identify below):  X Identify below:  X Other Enclosure(s) (please Identify below):  X Identify below:  X I	After	Final		Proprietary Information				
Express Abandonment Request    Request for Refund   Form PTO/SB/08A; European Search Report; 3 Foreign references.	Affidavits/declaration(s)			Status Letter				
Search Report; 3 Foreign references.    Contified Copy of Priority   Comment(s)   Response to Missing Parts   Incomplete Application   Response to Missing Parts   Incomplete Application   Response to Missing Parts   Individual name   DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP   Mark J. Thronson   Registration No.: 33,082	Extension of Time Request		Terminal Disclaimer					
X   Information Disclosure Statement	Express Abandonment Request		Request for Refund					
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or Individual name  DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson  Registration No.: 33,082  Signature	X Information Disclosure Statement		CD, Number of CD(s)					
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or Individual name Mark J. Thronson Registration No.: 33,082  Signature	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
	or			82				
Date June 4, 2004 .	Signature	gnature MASC						
	Date	June 4, 2004						



Docket No.: H6790.0003/P003 (PATENT)

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In re Patent Application of:

Hiroshi Deguchi et al.

Application No.: 10/660,564

Filed: September 12, 2003

For: OPTICAL RECORDING MEDIUM

Art Unit: 1772

Examiner: Not Yet Assigned

Confirmation No.: 5321

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 C.F.R. § 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

Application No.: 10/660,564 Docket No.: H6790.0003/P003

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. H6790.0003/P003.

Dated: June 4, 2004

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Respectfully submitted,

Mark J. Thronson

Registration No.: 33,082

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Substitute for form 1449A/B/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

Complete if Known			
Application Number	10/660,564-Conf. #5321		
Filing Date	September 12, 2003		
First Named Inventor	Hiroshi Deguchi		
Art Unit	1772		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	H6790.0003/P003		

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials* No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	

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		FOREI	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials* No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
	ВА	WO 01/13370 A1	02/2001		,	
	BB	EP 1 158 506 A1	11/2001			
	ВС	EP 1 223 577 A2	07/2002			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.